

## INFORMATION DISCLOSURE STATEMENT

Applicant : YATA, et al.  
App. No. : 09/700,988  
Filed : February 5, 2001  
For : NON-AQUEOUS SECONDARY BATTERY  
AND ITS CONTROL METHOD  
Examiner : Gregg Cantelmo  
Group Art Unit : 1745

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing thirteen (13) references that are also enclosed.

This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(2)

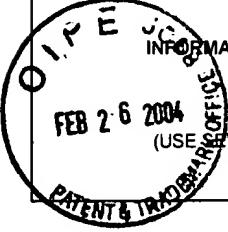
I hereby certify that no item of information contained in this Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: February 23, 2004

By:   
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| FORM PTO-1449<br><br><b>INFORMATION DISCLOSURE STATEMENT<br/>BY APPLICANT</b><br><small>FEB 26 2004<br/>(USE SEVERAL SHEETS IF NECESSARY)</small> | ATTY. DOCKET NO.<br>SAEGU64.001AUS |               | APPLICATION NO.<br>09/700,988 |
|   | APPLICANT<br>YATA, et al.          |               |                               |
|   | FILING DATE<br>February 5, 2001    | GROUP<br>1745 |                               |

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| U.S. PATENT DOCUMENTS |                 |      |      |       |          |                              |
|-----------------------|-----------------|------|------|-------|----------|------------------------------|
| EXAMINER INITIAL      | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
|                       |                 |      |      |       |          |                              |
|                       |                 |      |      |       |          |                              |
|                       |                 |      |      |       |          |                              |
|                       |                 |      |      |       |          |                              |
|                       |                 |      |      |       |          |                              |

| FOREIGN PATENT DOCUMENTS |                 |          |         |       |          |             |
|--------------------------|-----------------|----------|---------|-------|----------|-------------|
| EXAMINER INITIAL         | DOCUMENT NUMBER | DATE     | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
|                          |                 |          |         |       |          | YES      NO |
| 5                        | JP 57-208079    | 12/21/82 | Japan   |       |          | Abstract    |
| 6                        | JP 63 - 024555  | 02/01/88 | Japan   |       |          | Abstract    |
| 7                        | JP 04-368778    | 12/21/92 | Japan   |       |          | Abstract    |
| 8                        | JP 05-159757    | 06/25/93 | Japan   |       |          | Abstract    |
| 9                        | JP 05 - 283105  | 10/29/93 | Japan   |       |          | Abstract    |
| 10                       | JP 07 - 57788   | 03/03/95 | Japan   |       |          | Abstract    |
| 11                       | JP 07 - 282797  | 10/27/95 | Japan   |       |          | Abstract    |
| 12                       | JP 08 - 138727  | 05/31/96 | Japan   |       |          | Abstract    |
| 13                       | JP 08 - 148189  | 06/07/96 | Japan   |       |          | Abstract    |
| 14                       | JP 08 - 182212  | 07/12/96 | Japan   |       |          | Abstract    |
| 15                       | JP 09 - 213286  | 08/15/97 | Japan   |       |          | Abstract    |
| 16                       | JP 09 - 219213  | 08/19/97 | Japan   |       |          | Abstract    |
| 17                       | JP 09 - 28042   | 01/28/97 | Japan   |       |          | Abstract    |
|                          |                 |          |         |       |          |             |

| EXAMINER INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) |
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|---|-----------------|
| EXAMINER  | DATE CONSIDERED |
| <small>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</small> |                 |